

# H-bases and lifting problem for homogeneous ideals

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## Abstract

The lifting problem for homogeneous ideals in a polynomial ring over a field is studied. A division algorithm for H-bases is given. Using this division algorithm, a new method for finding the liftings of a homogeneous ideal is developed. This method was compared with the current methods. The results are demonstrated with examples.

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## 1 Introduction

Let  $A = K[x_1, x_2, \dots, x_{n-1}]$  and  $B = K[x_1, x_2, \dots, x_{n-1}, x_n]$  where  $K$  is a field.

**Definition 1.1.** Let  $J$  be a homogeneous ideal in the polynomial ring  $A$ . A homogeneous ideal  $I$  in  $B$  is called lifting of  $J$  if

- (i)  $x_n$  is not a zero divisor on  $B/I$ ; and
- (ii)  $J = \langle f(x_1, x_2, \dots, x_{n-1}, 0) : f \in I \rangle$ .

The problem of finding the liftings of a given homogeneous ideal first suggested in [1] and fully explained in [2]. Then this interesting problem has been investigated by many researchers (see [3, 4, 5, 6]).

More recently a new computational method for finding the liftings of a given homogeneous ideal is given [7]. This method involves some Gröbner basis computations. Here we will give only a few definitions that are sufficient to explain the method given in [7]. We refer to [8] for a detailed treatment of Gröbner bases.

For a given a monomial order  $<$  and a polynomial  $f$  in a polynomial ring,  $\text{LT}(f)$  denotes the leading term of  $f$  with respect to  $<$ . If  $J$  is an ideal in this polynomial ring  $\text{LT}(J) = \langle \text{LT}(f) : f \in J \rangle$  and  $\mathcal{N}_J$  is the set of monomials which are not in  $\text{LT}(J)$ .

Now we are ready to explain the method given in [7]. Starting with a homogeneous ideal  $J = \langle f_1, \dots, f_s \rangle \subset A$  compute a Gröbner basis  $\{h_1, \dots, h_t\}$  of  $J$  with respect to a given monomial order  $<$  in  $A$ . After that define the polynomials

$$g_i = h_i + \sum_{\substack{x^\alpha x_n \in \mathcal{N}_J \\ \deg(x^\alpha x_n) = \deg(h_i)}} C_{i\alpha} x^\alpha x_n$$

where  $x^\alpha = x_1^{\alpha_1} x_2^{\alpha_2} \dots x_n^{\alpha_n}$ .

The set of polynomials  $G = \{g_1, \dots, g_t\}$  is a Gröbner basis for the ideal  $I = \langle G \rangle$  with respect to a special extension of monomial order  $<$  into a monomial order in  $B$  if and only if  $I$  is a lifting

of  $J$ . Buchberger's criterion for Gröbner bases implies the remainders of S-polynomials  $S(g_i, g_j)$  on division by  $G$  is zero for  $1 \leq i < j \leq t$ . Hence equalizing the coefficients of remainders to zero for each division gives us the conditions that the parameters must satisfy for  $I$  to be a lifting of  $J$ . Theoretical background of this method depends on a reformulation of a theorem given [4] in terms of Gröbner bases. In the original theorem however the lifting problem is related to the H-bases not to the Gröbner bases. Therefore, this method is against to the nature of the problem. It finds a Gröbner basis of a lifting which is not essential. Because of this, the method involves many unnecessary computations.

The first attempt to use H-bases to solve the lifting problem was given in [6]. The authors gave a criterion for H-bases in terms of syzygy modules which is very similar to Buchbergers criterion for Gröbner bases. Because they could not use the division algorithm on H-bases, they were not able to give a complete method for solving the lifting problem.

In this paper, we use the vector space character of homogeneous ideals to define a kind of division on homogeneous polynomials. Combining the ideas given in [6] with this division algorithm, we developed a new method for solving the lifting problem for homogeneous ideals. This method is similar to the method given in [7] but it uses H-bases instead of Gröbner bases. Finally, we compare these two methods and explain the advantages of our method.

## 2 H-bases

If  $f = f_d + f_{d-1} + \dots + f_1 + f_0$  where each  $f_i \in B$  is a homogeneous polynomial of degree  $i$  and  $f_d \neq 0$ , then  $H(f) = f_d$  is called the leading form of  $f$ . For an ideal  $I$  of  $A$ ,  $H(I) = \langle H(f) : f \in I \rangle$ .

**Definition 2.1.** A set of polynomials  $\{f_1, f_2, \dots, f_s\} \subset B$  is an H-basis for the ideal  $I = \langle f_1, f_2, \dots, f_s \rangle$  provided that  $H(I) = \langle H(f_1), H(f_2), \dots, H(f_s) \rangle$ .

Let  $f = f_d + f_{d-1} + \dots + f_1 + f_0$  be a polynomial in the polynomial ring  $A$ . The homogenization of  $f$  with respect to  $x_n$  is defined to be the polynomial  $f^h = f_d + x_n f_{d-1} + \dots + x_n^{d-1} f_1 + x_n^d f_0 \in B$ . For an ideal  $I$  of  $A$  the homogenization of  $I$ , denoted by  $I^h$ , is the ideal  $I^h = \langle f^h : f \in I \rangle \subseteq B$ .

Let  $f$  be a homogeneous polynomial in  $B$ , then the dehomogenization of  $f$  is the polynomial  $f_a = f(x_1, x_2, \dots, x_{n-1}, 1) \in A$ . For a homogeneous ideal  $I$  of  $B$ , the dehomogenization of  $I$  is the ideal  $I_a = \langle f_a : f \in I \rangle \subseteq A$ .

**Lemma 2.2.** [4, Lemma 2.4] Let  $I$  be a homogeneous ideal of  $B$ . Then the following conditions are equivalent.

- (i)  $x_n$  is not a zero divisor on  $B/I$ ,
- (ii)  $I = (I_a)^h$ ,
- (iii)  $H(I_a) = I(x_1, x_2, \dots, x_{n-1}, 0)$ .

The next theorem gives a relation between the lifting problem and H-bases. A Gröbner basis version of this theorem is used in [7].

**Theorem 2.3.** [4, Theorem 2.5] Let  $J = \langle f_1, f_2, \dots, f_s \rangle$  be a homogeneous ideal of  $A$ .

- (i) Let  $g_i = f_i + R_i$  with  $\deg(R_i) < \deg(f_i)$  for  $1 \leq i \leq s$  and  $I = \langle g_1, g_2, \dots, g_s \rangle \subseteq A$ . If  $\{g_1, g_2, \dots, g_s\}$  is an H-basis for  $I$ , then  $I^h = \langle g_1^h, g_2^h, \dots, g_s^h \rangle$  is a lifting of  $J$ . Conversely,

- (ii) If  $I$  is a lifting of  $J$ , then there exist polynomials  $R_1, R_2, \dots, R_s \in A$  such that  $\deg(R_i) < \deg(f_i)$  for every  $i$ ,  $\{g_1, g_2, \dots, g_s\}$  is an H-basis and  $I = \langle g_1^h, g_2^h, \dots, g_s^h \rangle$ .

This theorem is used in original form to find the liftings of a homogeneous ideal in [6]. We try to explain their method but we need to define the syzygy module of a set of polynomials.

**Definition 2.4.** For an  $s$ -tuple of polynomials  $(f_1, \dots, f_s)$ , the module generated by following set of  $s$ -tuple of polynomials

$$\{(h_1, \dots, h_s) : h_1 f_1 + \dots + h_s f_s = 0\}$$

is called syzygy module of  $(f_1, \dots, f_s)$  and denoted by  $\text{syz}(f_1, \dots, f_s)$ .

The following theorem gives a criterion for a set of polynomials to be an H-basis. This criterion is H-basis version of Buchberger's criterion for a Gröbner basis.

**Theorem 2.5.** [6, Theorem 2.4] Let  $I = \langle h_1, h_2, \dots, h_t \rangle \subseteq K[x_1, \dots, x_n]$ . Let the columns of the  $t \times l$  matrix  $S = (s_{ij})$  be a generating set of  $\text{syz}(H(h_1), \dots, H(h_t))$ . We may assume further that each  $s_{ji} f_j$  is a homogeneous polynomial of same degree for  $j = 1, \dots, t$ . Then  $\mathcal{H} = \{h_1, h_2, \dots, h_t\}$  is an H-basis for  $I$  if and only if

$$q_i = \sum_{j=1}^t s_{ji} h_j = \sum_{j=1}^t a_{ji} h_j, \quad 1 \leq i \leq l$$

for some  $a_{ji} \in K[x_1, \dots, x_n]$  such that  $\deg(q_i) = \max\{\deg(a_{ji} h_j), j = 1, \dots, t\}$ .

The following method for finding the liftings of a homogeneous ideal is proposed in [6]: Given an ideal  $J = \langle f_1, \dots, f_r \rangle \subseteq K[x_1, \dots, x_n]$  where  $f_i$ 's are homogeneous, define

$$g_i = \sum_{\deg(x^\gamma) < \deg(f_i)} C_{i\gamma} x^\gamma, \quad h_i = f_i + g_i.$$

Furthermore, for each  $q_i$  in Theorem 2.5 define

$$a_{ji} = \sum_{\deg(x^\gamma) < \deg(q_i) - \deg(h_j)} D_{i\gamma} x^\gamma.$$

Then compare the coefficient of monomials of the equation given Theorem 2.5 to find relations among the parameters  $C_{i\gamma}$ 's and  $D_{i\gamma}$ 's. Then  $I = \langle h_1, h_2, \dots, h_r \rangle$  is an element of the liftings of  $J$  if and only if the coefficients of  $h_i$ 's satisfy these relations. This is not a convenient method because there are extra parameters  $D_{i\gamma}$ 's. Even though in their example they are able to solve these extra parameters in terms of  $C_{i\gamma}$ 's, there is no guarantee that this will always occur.

The effectiveness of the method proposed in [7] comes from the usage of the division algorithm. This is the weak part of the method in [6]. Because of this we define a division process for H-bases.

### 3 A division algorithm for H-bases

Let  $P_d$  be the vector space of homogeneous polynomials of degree  $d$  in variables  $x_1, x_2, \dots, x_n$  over a field  $K$ . For brevity we denote the monomial  $x_1^{\alpha_1} x_2^{\alpha_2} \dots x_{n-1}^{\alpha_{n-1}} x_n^{\alpha_n}$  by  $x^\alpha$ . It is well-known that

$$\mathcal{B} = \{x^\alpha : \deg(x^\alpha) = \alpha_1 + \alpha_2 + \dots + \alpha_n = d\}$$

is a basis for  $P_d$ .

Let  $I = \langle f_1, f_2, \dots, f_s \rangle \subseteq K[x_1, x_2, \dots, x_n]$  where  $f_i$ 's are homogeneous polynomials.  $V_d(I) = \{f \in I : \deg(f) = d \text{ or } f = 0\}$  is a subspace of  $P_d$ . Furthermore, the polynomials of the form  $x_1^{\alpha_1} \dots x_n^{\alpha_n} f_i$  where  $\deg(x^\alpha) + \deg(f_i) = d$  spans  $V_d(I)$ .

For a given homogeneous polynomial  $f \in K[x_1, x_2, \dots, x_n]$  of degree  $d$ ,  $f \in I$  if and only if  $f \in V_d(I)$ . We can decide whether  $f \in V_d(I)$  or not as follows:

Let  $f = \sum_{\deg(x^\alpha)=d} a_i x^\alpha$  where  $a_i \in K$ . We have to find  $c_{\beta_j}$ 's in  $K$  such that

$$f = \sum_{\deg(x^\beta) + \deg(f_j) = d} c_{\beta_j} x^\beta f_j.$$

This is just a system of linear equations and can be solved linear algebra techniques. Construct the matrix  $M$  whose columns are coordinate vectors of  $x^\beta f_j$ 's with respect to  $\mathcal{B}$ . Also the last column of  $M$  is the coordinate vector of  $f$  with respect to  $\mathcal{B}$ . If  $M'$  is the row reduced echelon form of  $M$ , then these matrices will give the solution of same system since they are row equivalent. If  $M'$  has any row like  $(0, 0, \dots, 0, L(a_1, a_2, \dots))$  where  $L$  is a linear function, then the system has no solution unless  $L(a_1, a_2, \dots) = 0$ . Such rows will give relations between  $a_i$ 's for  $f$  to be in  $V_d(I)$ .

**Example 3.1.** Consider the homogeneous ideal

$$I = \langle f_1, f_2, f_3 \rangle = \langle x_1^2 + x_1 x_3, x_1 x_2 + x_2 x_3, x_1^3 + x_1 x_2^2 + x_2^2 x_3 \rangle$$

and the polynomial

$$g = a_1 x_1^3 + a_2 x_1^2 x_2 + a_4 x_1 x_2^2 + a_7 x_2^3 + a_3 x_1^2 x_3 + a_5 x_1 x_2 x_3 + a_8 x_2^2 x_3 + a_6 x_1 x_3^2 + a_9 x_2 x_3^2 + a_{10} x_3^3$$

of degree 3. We try to obtain the equations that  $a_i$ 's must satisfy for the polynomial  $g$  to be in  $V_3(I)$ .

Clearly,  $\{x_1 f_1, x_2 f_1, x_3 f_1, x_1 f_2, x_2 f_2, x_3 f_2, f_3\}$  is a spanning set for the vector space  $V_3(I)$ . Consider the augmented matrix  $M$ ,

	$x_1 f_1$	$x_2 f_1$	$x_3 f_1$	$x_1 f_2$	$x_2 f_2$	$x_3 f_2$	$f_3$	$g$
$x_1^3$	1	0	0	0	0	0	1	$a_1$
$x_1^2 x_2$	0	1	0	1	0	0	0	$a_2$
$x_1^2 x_3$	1	0	1	0	0	0	0	$a_3$
$x_1 x_2^2$	0	0	0	0	1	0	1	$a_4$
$x_1 x_2 x_3$	0	1	0	1	0	1	0	$a_5$
$x_1 x_3^2$	0	0	1	0	0	0	0	$a_6$
$x_2^3$	0	0	0	0	0	0	0	$a_7$
$x_2^2 x_3$	0	0	0	0	1	0	1	$a_8$
$x_2 x_3^2$	0	0	0	0	0	1	0	$a_9$
$x_3^3$	0	0	0	0	0	0	0	$a_{10}$

After applying a series of elementary row operations, the row reduced echelon form of  $M$ , say  $M'$ , will be

	$x_1f_1$	$x_2f_1$	$x_3f_1$	$x_1f_2$	$x_2f_2$	$x_3f_2$	$f_3$	$g$
$x_1^3$	1	0	0	0	0	0	0	$-a_6 + a_3$
$x_1^2x_2$	0	1	0	1	0	0	0	$a_2$
$x_1^2x_3$	0	0	1	0	0	0	0	$a_6$
$x_1x_2^2$	0	0	0	0	1	0	0	$a_4 - a_6 + a_3 - a_1$
$x_1x_2x_3$	0	0	0	0	0	1	0	$a_5 - a_2$
$x_1x_3^2$	0	0	0	0	0	0	1	$a_6 - a_3 + a_1$
$x_2^3$	0	0	0	0	0	0	0	$a_7$
$x_2^2x_3$	0	0	0	0	0	0	0	$a_8 - a_4$
$x_2x_3^2$	0	0	0	0	0	0	0	$a_9 - a_5 + a_2$
$x_3^3$	0	0	0	0	0	0	0	$a_{10}$

Hence, from the last 4 rows of  $M'$ ,  $g \in V_3(I)$  if and only if

$$\begin{aligned} a_7 &= 0 \\ a_4 &= a_8 \\ a_9 &= a_5 - a_2 \\ a_{10} &= 0 \end{aligned}$$

Under these conditions,

$$g = [(-a_6 + a_3)x_1 + a_2x_2 + a_6x_3]f_1 + [(a_4 - a_6 + a_3 - a_1)x_2 + (a_5 - a_2)x_3]f_2 + (a_6 - a_3 + a_1)f_3.$$

Now we are ready to define a new division process.

**Definition 3.2.** Let  $\mathcal{H} = \{f_1, f_2, \dots, f_s\} \subseteq K[x_1, \dots, x_n]$ . For  $f \in K[x_1, \dots, x_n]$  we say  $f$  reduces to  $\tilde{f}$  modulo  $\mathcal{H}$ , written

$f \rightarrow_{\mathcal{H}} \tilde{f}$ , if

$$\tilde{f} = f - (a_1f_1 + \dots + a_sf_s)$$

for some homogeneous polynomials  $a_1, \dots, a_s$  satisfying

$$H(f) = a_1H(f_1) + \dots + a_sH(f_s)$$

and  $\deg(a_i) = \deg(f) - \deg(f_i)$ .

We say  $f$  completely reduce to  $r$ , written  $f \rightarrow_{\mathcal{H}}^+ r$ , if there exists a sequence of polynomials  $g_1, \dots, g_t$  such that

$$f \rightarrow_{\mathcal{H}} g_1 \rightarrow_{\mathcal{H}} g_2 \rightarrow_{\mathcal{H}} \dots \rightarrow_{\mathcal{H}} g_t \rightarrow_{\mathcal{H}} r$$

and no homogeneous part of  $r$  is in  $\langle H(f_1), \dots, H(f_s) \rangle$ .

**Lemma 3.3.** Let  $\mathcal{H} = \{f_1, f_2, \dots, f_s\} \subseteq K[x_1, \dots, x_n]$ . For every  $f \in K[x_1, \dots, x_n]$ , there exists  $r \in K[x_1, \dots, x_n]$  such that  $f \rightarrow_{\mathcal{H}}^+ r$ .

*Proof.* The desired polynomial  $r$  can be found using the following algorithm.

$h := f, r := 0$

WHILE  $h \neq 0$  DO

IF  $H(h) \in \langle H(f_1), \dots, H(f_s) \rangle$  THEN

$h := \tilde{h}$  where  $h \rightarrow_{\mathcal{H}} \tilde{h}$

ELSE

$h := h - H(h)$

$r := r + H(h)$

Q.E.D.

Let us illustrate the algorithm with an example.

**Example 3.4.** Let  $\mathcal{H} = \{f_1, f_2\} = \{x_1^3 + x_2x_3, x_1x_2 + x_3\}$  and  $f = x_1^3 + x_1x_2^2 + x_2x_3 + x_1^2 + x_3$ . We will apply the algorithm to find the polynomial  $r$ .

- Here  $h = f$  and  $r = 0$ . Using technique given in Example 3.1,

$$H(h) = x_1^3 + x_1x_2^2 = H(f_1) + x_2H(f_2).$$

So,  $h = \tilde{h} = h - f_1 - x_2f_2 = x_1^2 - x_2x_3 + x_3$ .

- Since  $H(h) = x_1^2 - x_2x_3 \notin \langle H(f_1), H(f_2) \rangle$ ,

$$h = h - H(h) = x_3$$

and

$$r = 0 + H(h) = x_1^2 - x_2x_3.$$

- Now,  $h \neq 0$  and  $H(h) = x_3 \notin \langle H(f_1), H(f_2) \rangle$ . So,

$$h = h - H(h) = 0$$

and

$$r = r + H(h) = x_1^2 - x_2x_3 + x_3.$$

Since  $h = 0$ , the algorithm ends with  $r = x_1^2 - x_2x_3 + x_3$ . Therefore,  $f \rightarrow_{\mathcal{H}}^+ x_1^2 - x_2x_3 + x_3$ .

## 4 New method

The following results give some relations between H-bases and the division algorithm defined on the previous section.

**Lemma 4.1.** Let  $\mathcal{H} = \{f_1, f_2, \dots, f_s\} \subseteq K[x_1, \dots, x_n]$  and  $I = \langle \mathcal{H} \rangle$ .  $\mathcal{H}$  is an H-basis for  $I$  if and only if for every  $f \in I$ ,  $f \rightarrow_{\mathcal{H}}^+ 0$ .

*Proof.* Suppose that  $\mathcal{H} = \{f_1, f_2, \dots, f_s\}$  is an H-basis for  $I = \langle \mathcal{H} \rangle$  and  $f \in I$ . Then there exist homogeneous polynomials  $a_1, \dots, a_s \in K[x_1, \dots, x_n]$  satisfying  $\deg(a_i) = \deg(f) - \deg(f_i)$  such that  $H(f) = a_1H(f_1) + \dots + a_sH(f_s)$ . Notice that these polynomials can be obtained by the simple linear algebra techniques. Now define  $\tilde{f} = f - (a_1f_1 + \dots + a_sf_s)$ . So,  $f \rightarrow_{\mathcal{H}} \tilde{f}$ . It is clear that  $\deg(\tilde{f}) < \deg(f)$  and  $\tilde{f} \in I$ . Hence we can apply same process to the polynomial  $\tilde{f}$  and continue doing this until we reach to zero polynomial.

Conversely, suppose that  $f \in I$  and  $f \rightarrow_{\mathcal{H}}^+ 0$ . Then there exists a sequence of polynomials  $g_1, \dots, g_t$  such that

$$f \rightarrow_{\mathcal{H}} g_1 \rightarrow_{\mathcal{H}} g_2 \rightarrow_{\mathcal{H}} \dots \rightarrow_{\mathcal{H}} g_t \rightarrow_{\mathcal{H}} 0.$$

Since  $f \rightarrow_{\mathcal{H}} g_1$ , there exist homogeneous polynomials  $b_1, \dots, b_s$  such that  $H(f) = b_1H(f_1) + \dots + b_sH(f_s)$  which implies that  $H(f) \in \langle H(f_1), \dots, H(f_s) \rangle$ . Hence  $H(I) = \langle H(f_1), \dots, H(f_s) \rangle$ , that means  $\mathcal{H} = \{f_1, f_2, \dots, f_s\}$  is an H-basis.

Q.E.D.

**Lemma 4.2.** Let  $\mathcal{H} = \{f_1, f_2, \dots, f_s\} \subseteq K[x_1, \dots, x_n]$  and  $I = \langle \mathcal{H} \rangle$ . For every  $f \in I$ ,  $f \rightarrow_{\mathcal{H}}^+ 0$  if and only if there exist polynomials  $a_1, \dots, a_s \in K[x_1, \dots, x_n]$  such that  $f = a_1f_1 + \dots + a_sf_s$  and  $\deg(f) = \max_{1 \leq i \leq s} \{\deg(a_if_i)\}$ .

*Proof.* Suppose that for every  $f \in I$  there exist polynomials  $a_1, \dots, a_s \in K[x_1, \dots, x_n]$  such that  $f = a_1f_1 + \dots + a_sf_s$  and  $\deg(f) = \max_{1 \leq i \leq s} \{\deg(a_if_i)\}$ . Then

$$H(f) = \sum_{\deg(f) = \deg(a_if_i)} H(a_i)H(f_i).$$

$H(I) = \langle H(f_1), \dots, H(f_s) \rangle$  means that  $\mathcal{H} = \{f_1, f_2, \dots, f_s\}$  is an H-basis. Hence  $f \rightarrow_{\mathcal{H}}^+ 0$  by above lemma.

Suppose that  $f \in I$  and  $f \rightarrow_{\mathcal{H}}^+ 0$ . Then

$$f = g_0 \rightarrow_{\mathcal{H}} g_1 \rightarrow_{\mathcal{H}} g_2 \rightarrow_{\mathcal{H}} \dots \rightarrow_{\mathcal{H}} g_t = 0$$

for some polynomials  $g_1, \dots, g_t \in K[x_1, \dots, x_n]$ . If

$$H(g_{i-1}) = \sum_{j=1}^s a_{ij}H(f_j)$$

for  $i = 1, \dots, t$ , then

$$f = \sum_{j=1}^s \sum_{i=1}^t a_{ij}f_j.$$

Q.E.D.

Using above lemmas, we can rewrite Theorem 2.5 with the new notation.

**Theorem 4.3.** Let  $I = \langle h_1, h_2, \dots, h_t \rangle \subseteq K[x_1, \dots, x_n]$ . Let the columns of the  $t \times l$  matrix  $S = (s_{ij})$  be a generating set of  $\text{syz}(H(h_1), \dots, H(h_t))$ . We may assume further that each  $s_{ji}f_j$  is a homogeneous polynomial of same degree for  $j = 1, \dots, t$ . Then  $\mathcal{H} = \{h_1, \dots, h_t\}$  is an H-basis for  $I$  if and only if

$$q_i = \sum_{j=1}^t s_{ji}h_j \xrightarrow{\mathcal{H}}^+ 0, \quad 1 \leq i \leq l.$$

Now we are ready to explain the new method for findings of the liftings of a given homogeneous ideal  $J = \langle f_1, \dots, f_s \rangle \subset A$ . First of all, we need to find a generating set for  $\text{syz}(f_1, \dots, f_s)$ . Secondly, we define the polynomials

$$g_i = f_i + \sum_{\deg(x^\gamma) < \text{def}(f_i)} C_{i\gamma} x^\gamma \quad 1 \leq i \leq s.$$

Then for each syzygy  $(t_1, \dots, t_s)$  in the generating set of  $\text{syz}(f_1, \dots, f_s)$  define the polynomial  $q_t = t_1g_1 + \dots + t_sg_s$ . Theorem 4.3 implies  $(H) = \{g_1, \dots, g_s\}$  is an H-basis, in other words  $I = \langle (H) \rangle$  is a lifting for  $J$ , if and only if every  $q_t \xrightarrow{\mathcal{H}}^+ 0$ . Therefore when applying the division algorithm, described in Lemma 3.3, to each  $q_t$  the conditions that the parameters  $C_{i\gamma}$ 's must satisfy for  $I$  to be a lifting of  $J$  can be obtained.

Let us illustrate the method with an example.

**Example 4.4.** Consider the ideal  $J = \langle f_1, f_2, f_3 \rangle = \langle x_1^2, x_1x_2, x_2^4 + x_1x_3^3 \rangle$ . Define the polynomials:

$$g_1 = f_1 + C_1x_1 + C_2x_2 + C_3x_3 + C_4,$$

$$g_2 = f_2 + C_5x_1 + C_6x_2 + C_7x_4 + C_8 \text{ and}$$

$$g_3 = f_3 + C_9x_1^3 + C_{10}x_1^2x_2 + C_{11}x_1^2x_3 + C_{12}x_1x_2^2 + C_{13}x_1x_2x_3 + C_{14}x_1x_2^3 + C_{15}x_2^3 + C_{16}x_2^2x_3 + C_{17}x_2x_2^2 + C_{18}x_3^3 + C_{19}x_1^2 + C_{20}x_1x_2 + C_{21}x_1x_3 + C_{22}x_2^2 + C_{23}x_2x_3 + C_{24}x_3^2 + C_{25}x_1 + C_{26}x_2 + C_{27}x_3 + C_{28}.$$

The syzygy module  $\text{syz}(f_1, f_2, f_3)$  can be generated  $t_1 = (x_2, -x_1, 0)$ ,  $t_2 = (0, f_3, -f_2)$  and  $t_3 = (x_3^3, x_2^3, -x_1)$ . The details of computation of generators of syzygy modules can be found in [9]. Then we define polynomials:

$$q_1 = x_2g_1 - x_1g_2 = -C_5x_1^2 + (C_1 - C_6)x_1x_2 + C_2x_2^2 - C_7x_1x_3 + C_3x_2x_3 - C_8x_1 + C_4x_2, q_2 = f_3g_2 - f_2g_3 \text{ and } q_3 = x_3^3g_1 + x_2^3g_2 - x_1g_3.$$

The ideal  $I = \langle g_1, g_2, g_3 \rangle$  is a lifting of  $J$  if and only if  $q_i \xrightarrow{\mathcal{H}}^+ 0$  for  $i = 1, 2, 3$ . Let us apply division algorithm to  $q_1$ .

$$H(q_1) = -C_5x_1^2 + (C_1 - C_6)x_1x_2 + C_2x_2^2 - C_7x_1x_3 + C_3x_2x_3.$$

Using the technique given in Example 3.1,  $H(q_1) = -C_5f_1 + (C_1 - C_6)f_2$  under the condition  $C_2 = C_3 = C_7 = 0$ .

Let

$$\tilde{q}_1 = q_1 + C_5g_1 - (C_1 - C_6)g_2 = (C_5C_6 - C_8)x_1 + (C_4 - C_1C_6 + C_6^2)x_2 + C_4C_5 - C_1C_8 + C_6C_8.$$



This a polynomial of degree 1, so it goes to the remainder. Since the remainder should be zero, the equations  $C_8 = C_5C_6$  and  $C_4 = C_1C_6 - C_6^2$  are obtained.

Applying same process to  $q_2$  produce the following equations:

$$C_6 = C_1 - C_{18},$$

$$C_{24} = C_5C_{17} + C_{14}C_{18},$$

$$C_{27} = -C_5^2C_{16} - C_5C_{13}C_{18} - C_{11}C_{18}^2 + C_{18}C_{21} + C_5C_{23} \text{ and}$$

$$C_{28} = -C_5^4 + C_5^3C_{15} + C_5^2C_{12}C_{18} + C_5C_{10}C_{18}^2 + C_9C_{18}^3 - C_{18}^2C_{19} - C_5C_{18}C_{20} - C_5^2C_{22} + C_{18}C_{25} + C_5C_{26}$$

Replacing  $C_6 = C_1 - C_{18}$  into equations  $C_8 = C_5C_6$  and  $C_4 = C_1C_6 - C_6^2$ , we also get  $C_4 = C_1C_{18} - C_{18}^2$  and  $C_8 = C_1C_5 - C_5C_{18}$ .

The division of  $q_3$  does not produce additional equations. Hence  $I = \langle g_1, g_2, g_3 \rangle$  is a lifting of  $J$  if

$$g_1 = x_1^2 + C_1x_1 + C_1C_{18} - C_{18}^2,$$

$$g_2 = x_1x_2 + C_5x_1 + (C_1 - C_{18})x_2 + C_1C_5 - C_5C_{18} \text{ and}$$

$$g_3 = x_2^4 + x_1x_3^3 + C_9x_1^3 + C_{11}x_1^2x_3 + C_{23}x_2x_3 + C_{13}x_1x_2x_3 + C_{16}x_2^2x_3 + (C_5C_{17} + C_{14}C_{18})x_3^2 + C_{14}x_1x_3^2 + C_{17}x_2x_3^2 + C_{18}x_3^3 + C_{19}x_1^2 + C_{10}x_1^2x_2 + C_{22}x_2^2 + C_{12}x_1x_2^2 + C_{15}x_3^3 + C_{25}x_1 + C_{26}x_2 + (-C_5^2C_{16} - C_5C_{13}C_{18} - C_{11}C_{18}^2 + C_{18}C_{21} + C_5C_{23})x_3 - C_5^4 + C_5^3C_{15} + C_5^2C_{12}C_{18} + C_5C_{10}C_{18}^2 + C_9C_{18}^3 - C_{18}^2C_{19} - C_5C_{18}C_{20} - C_5^2C_{22} + C_{18}C_{25} + C_5C_{26}.$$

Starting with a generating set for the homogeneous ideal  $J$ , the one need to add new polynomials to the generating set unless the original set is a Gröbner basis in the method suggested in [7]. This is the most important handicap of that method. In our method however we always use the given generating set of the ideal  $J$ . On the other hand, our method requires a generating set for the syzygy module. The best-known method for computation of a syzygy module uses Gröbner bases (see [9]). Even if the Gröbner basis of  $J$  is computed for finding a syzygy module, many  $S$ -polynomials do not produce a syzygy for  $J$  at the end. Hence the number of syzygies to be considered in our method is generally much less than the number of  $S$ -polynomials to be considered in the method given in [7]. Furthermore, we may only need a Gröbner basis for  $J$  not for  $I$  which contains polynomials with many parameters. The Gröbner basis computation with parameters might be very complicated.

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